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# Optimal target localization for subthalamic stimulation in patients with Parkinson disease

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## ABSTRACT

**Objective:** To further determine the causes of variable outcome from deep brain stimulation of the subthalamic nucleus (DBS-STN) in patients with Parkinson disease (PD).

**Methods:** Data were obtained from our cohort of 309 patients with PD who underwent DBS-STN between 1996 and 2009. We examined the relationship between the 1-year motor, cognitive, and psychiatric outcomes and (1) preoperative PD clinical features, (2) MRI measures, (3) surgical procedure, and (4) locations of therapeutic contacts.

**Results:** Pre- and postoperative results were obtained in 262 patients with PD. The best motor outcome was obtained when stimulating contacts were located within the STN as compared with the zona incerta (64% vs 49% improvement). Eighteen percent of the patients presented a postoperative cognitive decline, which was found to be principally related to the surgical procedure. Other factors predictive of poor cognitive outcome were perioperative confusion and psychosis. Nineteen patients showed a stimulation-induced hypomania, which was related to both the form of the disease (younger age, shorter disease duration, higher levodopa responsiveness) and the ventral contact location. Postoperative depression was more frequent in patients already showing preoperative depressive and/or residual axial motor symptoms.

**Conclusion:** In this homogeneous cohort of patients with PD, we showed that (1) the STN is the best target to improve motor symptoms, (2) postoperative cognitive deficit is mainly related to the surgery itself, and (3) stimulation-induced hypomania is related to a combination of both the disease characteristics and a more ventral STN location. *Neurology*® 2014;82:1352-1361

## GLOSSARY

**ADL** = activities of daily living; **AS** = associative; **DBS** = deep brain stimulation; **DSM-IV** = *Diagnostic and Statistical Manual of Mental Disorders*, 4th edition; **MADRS** = Montgomery-Åsberg Depression Rating Scale; **MDRS** = Mattis Dementia Rating Scale; **PD** = Parkinson disease; **SM** = sensorimotor; **STN** = subthalamic nucleus; **UPDRS** = Unified Parkinson's Disease Rating Scale; **ZI** = zona incerta.

Bilateral deep brain stimulation of the subthalamic nucleus (DBS-STN) has been shown to dramatically alleviate motor symptoms in patients with Parkinson disease (PD).<sup>1</sup> However, some patients show poor relief of parkinsonian motor disability and/or nonmotor side effects, such as a dysexecutive syndrome<sup>2</sup> or psychiatric symptoms.<sup>3</sup> These side effects impair the postsurgery quality of life and are responsible for a poor global outcome.<sup>4,5</sup> Because of these postoperative problems, some authors have (re)proposed other targets such as the globus pallidus interna<sup>6,7</sup> or the zona incerta (ZI).<sup>8</sup>

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Several preoperative clinical factors are predictive of some of the postoperative difficulties with DBS-STN: (1) older age, (2) parkinsonism with poor response to dopaminergic treatment,<sup>9</sup> (3) preoperative cognitive deficit such as executive dysfunction,<sup>2</sup> or (4) focal brain atrophy.<sup>10</sup> Ventral location of the STN stimulating contact may provoke transient postsurgical hypomania.<sup>11,12</sup> The role of preoperative factors,<sup>13</sup> surgical procedure,<sup>14,15</sup> locations of stimulating contacts,<sup>16,17</sup> and postoperative management<sup>18</sup> on the postoperative motor benefit and side effects has already been independently studied in small cohorts of patients.

Our goal was to delve further in this analysis by closely examining our large and homogeneous single-center cohort of 309 patients with PD who underwent surgery within a 13-year period, evaluating patients before, during, and 1 year after DBS-STN. Specifically, we wished to determine the ideal target within the STN area that showed the greatest improvement and fewest side effects for patients with PD. We were also interested in which clinical and surgical factors might be relevant for the postoperative outcome with DBS-STN. We assessed the link between the specific motor, cognitive, and psychiatric outcomes after surgery and the preoperative PD clinical features, MRI measures, surgical procedure, and locations of stimulating contacts that might help us to define the best target for a given patient.

**METHODS Patients.** Between February 1996 and July 2009, 309 patients with PD underwent DBS-STN at the Pitié-Salpêtrière Hospital (Paris, France). Patients were deemed suitable for surgery according to the following criteria<sup>9</sup>: (1) age younger than 70 years (mean age:  $54.8 \pm 8.8$  years, except in 9 patients); (2) a severe form of the disease (Hoehn and Yahr stage  $\geq 2.5$ ); (3)  $>40\%$  decrease in motor symptoms with levodopa treatment; and (4) presence of disabling levodopa-induced motor complications despite optimal medical treatment. Exclusion criteria included dementia, ongoing psychiatric disturbances, surgical contraindications, and relevant brain lesions detected on MRI.

**Standard protocol approvals, registrations, and patient consents.** This study received approval from the local ethics committee, and all patients gave written informed consent to participate in this study.

**Neurosurgical procedure.** Quadripolar electrodes (model 3389-28; Medtronic, Minneapolis, MN) were bilaterally implanted, combining intraoperative microelectrode recordings and stimulation, and connected to a subcutaneous pulse

generator (Medtronic). Stimulation measures (pulse width, frequency, intensity) were adjusted using an external programmer.<sup>19</sup>

**Clinical evaluation.** Evaluation of patients was performed 3 months before and 1 year after surgery.<sup>9</sup>

**Parkinsonian motor disability.** The activities of daily living (ADL) score (Unified Parkinson's Disease Rating Scale [UPDRS] part II) was assessed by patient interview in the "off" and "on" drug conditions.

Before surgery, the motor disability score (UPDRS part III) was examined in the off condition, after a 12-hour interruption of antiparkinsonian medication, and best on-drug condition ("residual" score), after the administration of a single supratherapeutic dose of levodopa. Four subscores were calculated: (1) tremor, (2) rigidity, (3) akinesia, and (4) axial. After surgery, these scores were evaluated in 4 conditions, the same day and in the same order: (1) on stimulation (with chronic stimulation measures) and off drug; (2) off stimulation and off drug, after stimulation stopped for at least 90 minutes; (3) off stimulation and on drug, after the administration of the supratherapeutic dose of levodopa; and (4) on stimulation and on drug.

**Cognitive status.** Neuropsychological evaluation focused on executive functions, attention, memory, and visuoconstructive abilities,<sup>20</sup> by using (1) the Mattis Dementia Rating Scale (MDRS) (range: 0–144), with low scores indicating worse cognitive performance<sup>21</sup>; (2) the "frontal score," determined on a 50-point scale, including the Modified Wisconsin Card Sorting Test (scale 0–20) and verbal fluency<sup>20</sup>; and (3) the Free and Cued Selective Reminding Test to assess memory.<sup>20</sup>

**Psychiatric status.** Depressive symptoms were assessed with the Montgomery-Åsberg Depression Rating Scale (MADRS) (range: 0–60; depression diagnosis: score  $>13$ ).<sup>22</sup> Hypomania and major depressive disorder were examined using a behavioral evaluation including both a semistructured psychiatric interview based on *DSM-IV* criteria (Mini-International Neuropsychiatric Inventory) and a nonstandardized psychiatric interview.<sup>23</sup>

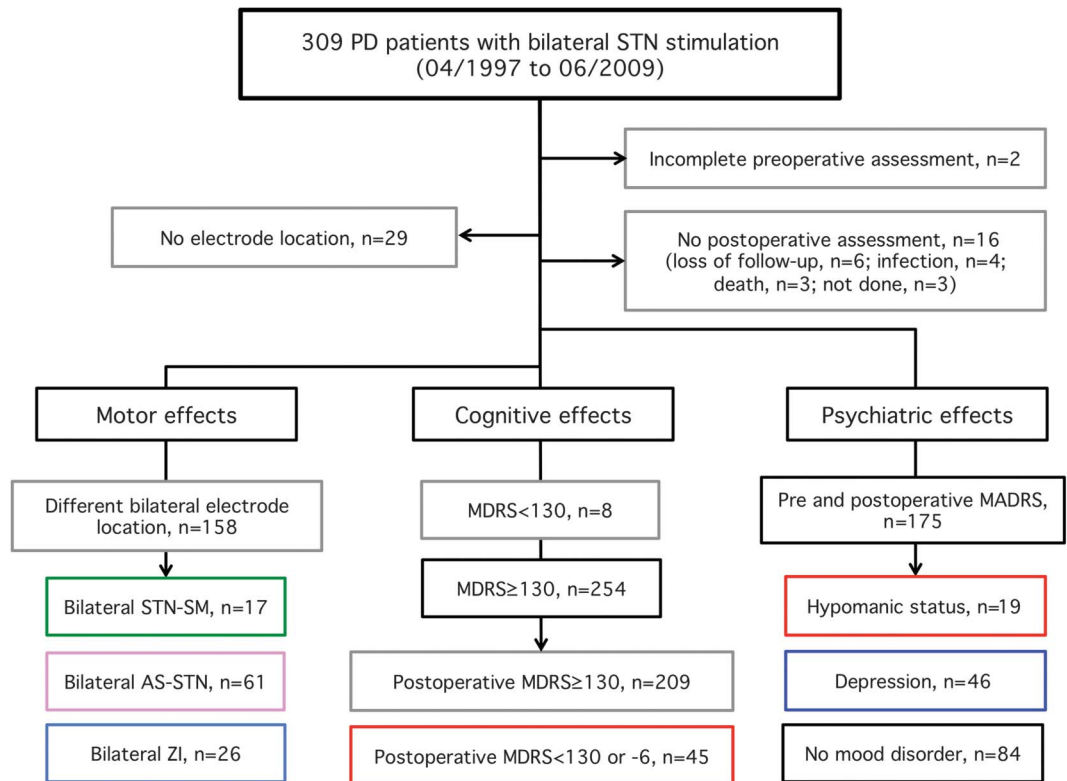
**Antiparkinsonian treatment and stimulation parameter settings.** The levodopa-equivalent dosage and stimulation measures were also noted. Levodopa-related complications were evaluated using the UPDRS part IV.<sup>9</sup>

**MRI acquisition and analysis.** MRI acquisition was performed the day before surgery, with the stereotactic Leksell frame in place, and an MRI or 3-dimensional helical tomodensitometry was performed the following day to visualize electrode tracks and determine contact locations.<sup>19</sup> A voxel-based morphometric analysis was performed on the preoperative T1 images (with no gadolinium) to quantify changes in the gray and white matter concentration ( $n = 160$  patients).

The location of electrodes was obtained using a deformable 3-dimensional histologic atlas of the basal ganglia.<sup>24,25</sup> Within the STN, 3 functional territories were defined, i.e., posterior-sensorimotor (SM), intermediate-associative (AS), and anterior-limbic parts, by analogy with tract tracing data obtained in monkeys.<sup>26</sup> The contact coordinates in the normalized atlas space with reference to the anterior commissure–posterior commissure system were measured relative to the posterior commissure point (absolute laterality: X; anteroposteriority: Y; depth: Z).<sup>25</sup>

**Statistical analysis.** Changes in scores between baseline and the 1-year follow-up were determined with respect to the same preoperative drug condition. The relationship between the stimulating contact coordinates and the postoperative changes was assessed using a univariate  $z$  correlation test. The mean coordinates of the stimulating contacts were chosen as cutoff points to further determine the effects of contact coordinates

**Figure 1** Flowchart of patients evaluated in this study



AS = associative; MADRS = Montgomery-Åsberg Depression Rating Scale; MDRS = Mattis Dementia Rating Scale; PD = Parkinson disease; SM = sensorimotor; STN = subthalamic nucleus; ZI = zona incerta.

on the postoperative clinical outcome by using Student *t* tests. Statistical analyses were performed using the SAS statistical software package, version 9.2 (SAS Institute Inc., Cary, NC). The level of significance was set at  $p < 0.01$ .

**Motor outcome.** The role of contact location in the postoperative outcome between patients with bilateral stimulation of the SM-STN, the AS-STN, or the ZI (figures 1 and 2A) was tested using the nonparametric Kruskal-Wallis tests.

**Cognitive outcome.** Patients with a preoperative MDRS score  $< 130$  were excluded from this part of the study (figure 1). Postoperative cognitive decline was defined as a decrease more than mean  $+ 1$  SD in the MDRS after surgery and/or MDRS score  $< 130$ . To determine which factors differed between patients with vs without postoperative cognitive decline, we performed Student *t* tests for continuous variables and Fisher exact tests for categorical variables.

**Psychiatric outcome.** Change in the MADRS score after surgery was the main outcome variable. To determine which factors differed between patients with vs without postoperative depression (MADRS score  $> 13$ ), we performed Student *t* tests for continuous variables and Fisher exact tests for categorical variables. Pre- and postoperative data obtained in patients with postoperative depression, transient hypomanic status, or no mood disorders were compared using nonparametric Kruskal-Wallis tests. See e-Methods on the *Neurology*® Web site at Neurology.org for further details of the methods.

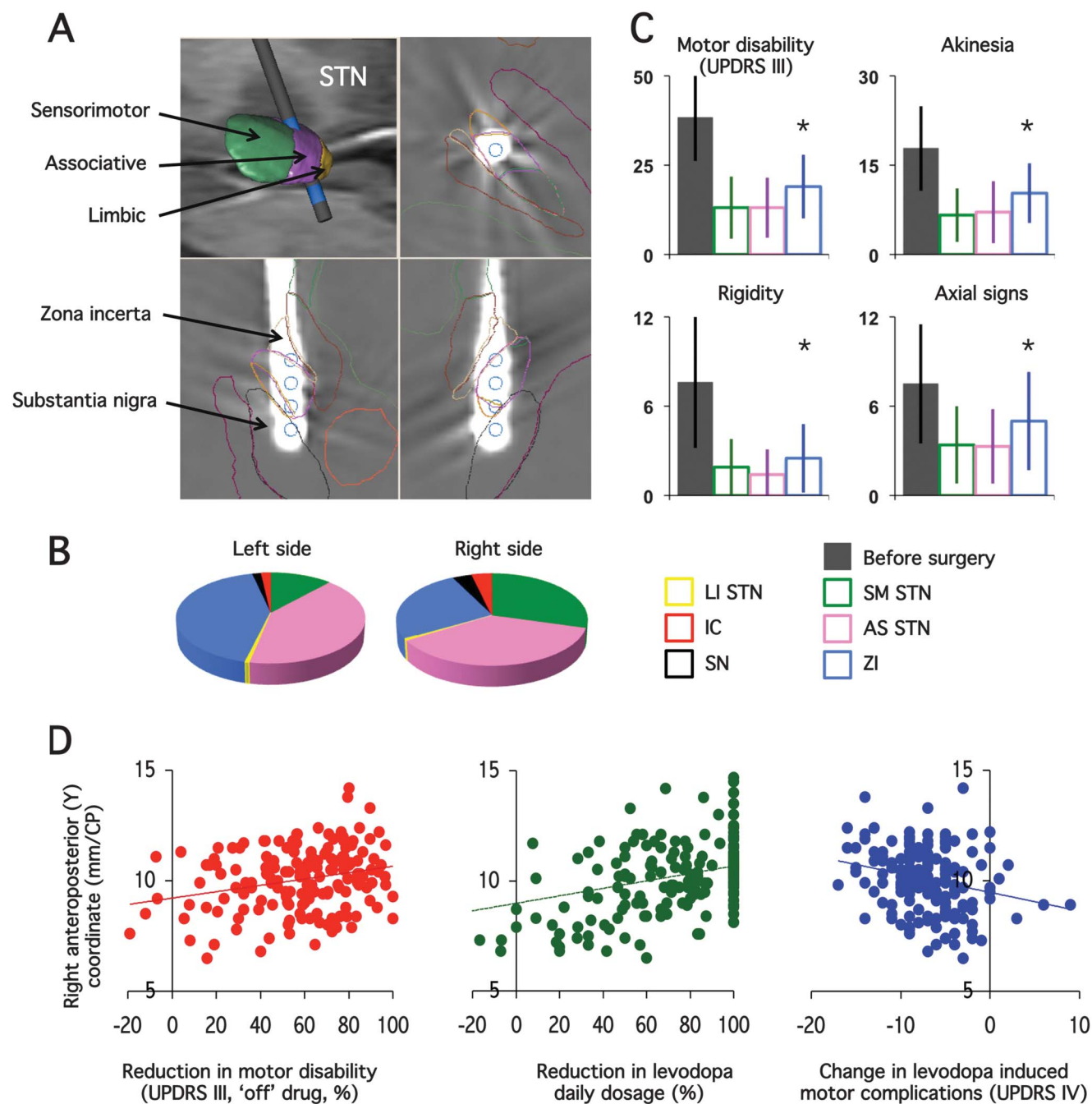
**RESULTS** Among the 309 patients with PD who underwent bilateral STN stimulation between January 1996 and July 2009, 47 were excluded from the final

statistical analysis (figure 1). After these exclusions, we were able to assess the effects of bilateral stimulation on motor, cognitive, and psychiatric symptoms and the therapeutic contact location from a total of 262 patients with PD (103 women/159 men; mean age:  $57.6 \pm 8.1$  years; mean disease duration:  $12.8 \pm 5.1$  years) (figure 1, table 1).

**Contact locations.** The distribution of the stimulating contact locations is shown in figure 2. Our method led to the right and left stimulating contacts being bilaterally located in the posterior-SM-STN in 17 patients, the intermediate-AS-STN in 61 patients, and the ZI in 26 patients (figure 1 and figure 2, A and B). In 158 patients, the 2 stimulating contacts were not bilaterally located in the same subterritory of the STN (figure 1 and figure 2, A and B).

**Motor outcome.** Patients with PD with SM- or AS-STN stimulation showed lower residual parkinsonian motor disability and akinesia scores (on stimulation, off treatment) in comparison to patients with ZI stimulation (figure 2C), with no significant difference in preoperative features among the 3 groups (table e-1). None of the tremor, rigidity, and axial subscores (table e-1, figure 2C), antiparkinsonian drug treatment (table e-1), or parameter settings (not shown) showed significant differences.

**Figure 2** Effects of deep brain stimulation on parkinsonian motor disability as a function of stimulating contact location



(A) Example of one electrode location reconstructed on the 3-dimensional digitized basal ganglia atlas showing the 4 stimulating contacts (blue cylinder, upper left image). The position of each contact is seen on the axial (upper right), sagittal (lower left), and coronal (lower right) images reconstructed in the electrode plane. The location of the stimulating contact in the sensorimotor (SM, green), associative (AS, pink), or limbic (LI, yellow) parts of the subthalamic nucleus (STN), or outside the STN, such as in the substantia nigra (SN, black, located ventrally to the STN), the zona incerta/Forel field area (ZI, yellow, located medially to the STN), or the internal capsule (IC, red, located laterally to the STN), can therefore be accurately determined. (B) Relative distribution of locations of the chronically implanted stimulating contacts in the 262 patients with Parkinson disease, in the left and right hemispheres. (C) Effects of the bilateral stimulation of the SM-STN (green columns) vs AS-STN (pink columns) vs ZI (blue columns) on parkinsonian motor disability (Unified Parkinson's Disease Rating Scale [UPDRS] part III), rigidity, akinesia, and axial signs 1 year after surgery (on stimulation, off drug). The first gray column represents the scores before surgery without antiparkinsonian treatment (off drug). Asterisks indicate significant differences between groups. (D) Relationship between the anteroposterior (Y) coordinate of the right stimulating contact and the improvement in motor disability with bilateral stimulation alone (on stimulation, off drug; left graph), reduction in the levodopa replacement therapy (middle graph), and change in levodopa-induced motor complications (right graph), 12 months after surgery. CP = commissure posterior.

The decrease in parkinsonian motor disability and axial scores and levodopa daily dose under bilateral DBS-STN was significantly related to the right anterior-Y coordinate of the stimulating contact

( $p < 0.01$ , figure 2D). The change in levodopa-induced motor complications (UPDRS IV) was also significantly related to both the right and left anterior-Y coordinates of the contacts ( $p < 0.01$ , figure 2D).



**Table 1** UPDRS scores, cognition, depression, and dopaminergic drug treatment

	Baseline	12 mo	Change between baseline and 12 mo	p
<b>UPDRS</b>				
<b>Part II: ADL</b>				
Off treatment	21.6 (7.8)	11.4 (6.4)	−10.3 (−11.4 to −9.2)	<10 <sup>−4a</sup>
On treatment	6.6 (5.4)	8.2 (5.6)	1.6 (0.8–2.4)	0.0001 <sup>a</sup>
<b>Part III: motor</b>				
Off treatment	38.7 (14.3)	14.8 (9.5)	−23.9 (−25.8 to −22.2)	<10 <sup>−4a</sup>
On treatment	9.3 (7.2)	7.5 (6.0)	−1.7 (−1.0 to −2.4)	<10 <sup>−4a</sup>
<b>Part III: akinesia</b>				
Off treatment	18.3 (6.8)	8.1 (5.6)	−10.3 (−11.2 to −9.4)	<10 <sup>−4a</sup>
On treatment	4.9 (4.1)	4.1 (3.8)	−0.6 (−1.1 to −0.1)	0.023
<b>Part III: rigidity</b>				
Off treatment	7.8 (4.1)	2.1 (2.4)	−5.7 (−6.2 to −5.2)	<10 <sup>−4a</sup>
On treatment	1.7 (2.5)	1.0 (1.5)	−0.7 (−1.0 to −0.4)	<10 <sup>−4a</sup>
<b>Part III: tremor</b>				
Off treatment	5.0 (5.1)	1.3 (2.2)	−3.7 (−4.3 to −3.1)	<10 <sup>−4a</sup>
On treatment	0.5 (1.2)	0.3 (0.8)	−0.2 (−0.4 to 0.0)	0.002 <sup>a</sup>
<b>Part III: axial signs</b>				
Off treatment	7.5 (4.1)	3.4 (2.8)	−4.2 (−4.7 to −3.7)	<10 <sup>−4a</sup>
On treatment	2.2 (2.2)	2.2 (2.1)	−0.1 (−0.4 to 0.2)	0.70
<b>Part IV: levodopa-related complications</b>	9.3 (3.5)	2.4 (2.4)	−6.8 (−7.3 to −6.3)	<10 <sup>−4a</sup>
<b>Antiparkinsonian drug treatment</b>				
Levodopa dosages, mg/d	889 (373)	243 (278)	−648 (−696 to −600)	<10 <sup>−4a</sup>
Dopamine agonist dosage, mg/d	320 (286)	128 (190)	−191 (−227 to −155)	<10 <sup>−4a</sup>
<b>Cognition-depression</b>				
Global cognition, MDRS	138.5 (5.1)	137.2 (7.7)	−1.2 (−2.0 to −0.4)	0.002 <sup>a</sup>
mWCST	16.5 (4.4)	16.6 (4.5)	0.0 (−0.5 to 0.5)	0.85
Frontal score	43.1 (7.0)	42.4 (7.1)	−0.5 (−1.3 to 0.3)	0.15
Depression, MADRS	8.2 (5.5)	8.2 (7.0)	−0.5 (−1.7 to 0.7)	0.46

Abbreviations: ADL = activities of daily living; MADRS = Montgomery-Åsberg Depression Rating Scale; MDRS = Mattis Dementia Rating Scale; mWCST = modified Wisconsin Card Sorting Test; UPDRS = Unified Parkinson's Disease Rating Scale.

Data are mean (SD). UPDRS score ranges: ADL 0–52, motor 0–108, akinesia 0–40, rigidity 0–20, tremor 0–28, axial signs 0–20, and levodopa-related complications 0–44 (high scores = worse clinical assessment of the patient's Parkinson disease). Cognition score ranges: MDRS 0–144, mWCST 0–20, and frontal score 0–50 (high scores = better cognitive function). Depression: MADRS 0–60 (high score = worse depressive signs).

<sup>a</sup>Significant differences.

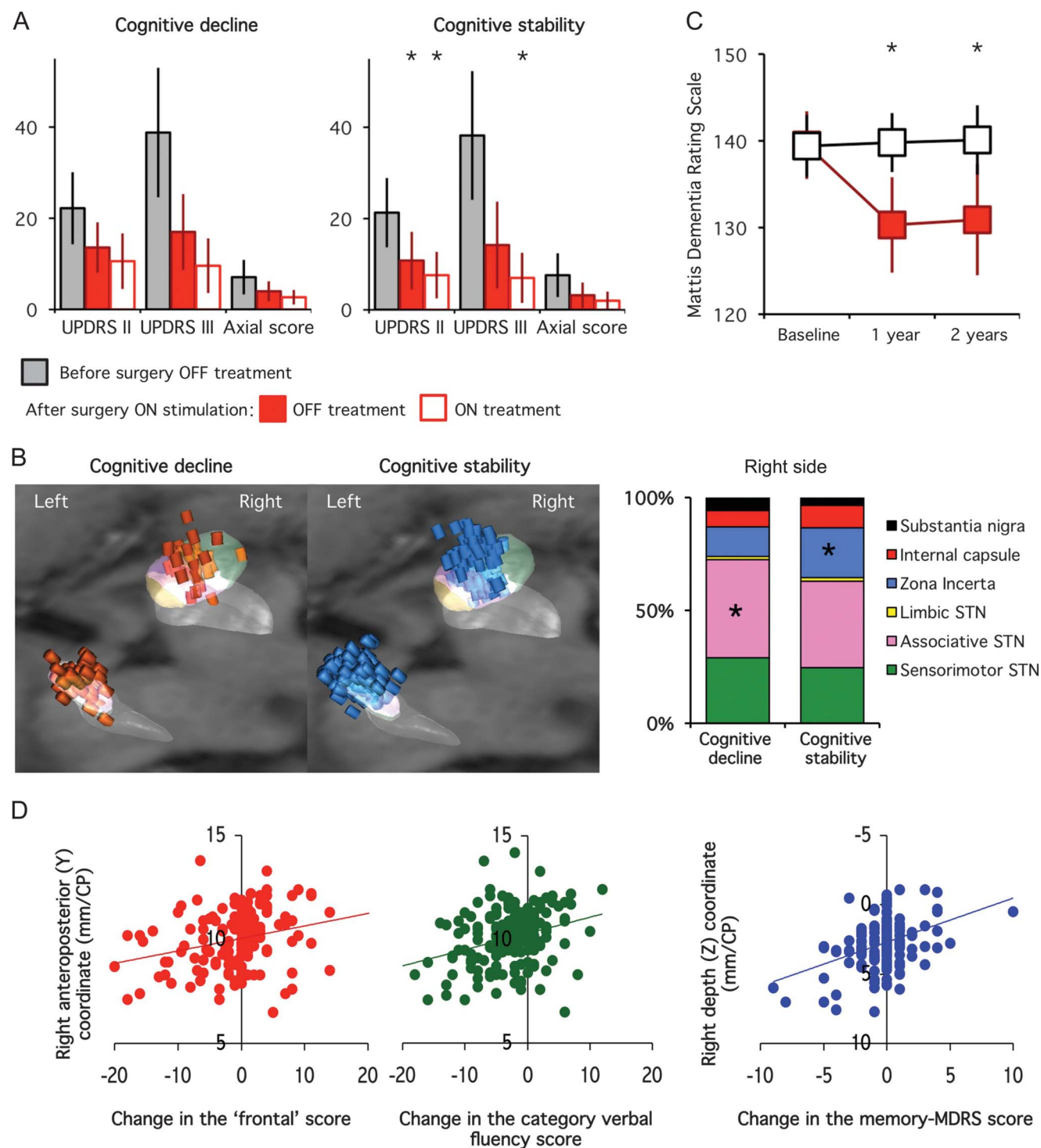
Patients with PD who had higher Y coordinates (>10.6 mm, right side) vs lower (≤10.6 mm) showed significantly greater improvements in motor disability and decreases in levodopa-induced motor complications and levodopa daily dose ( $p < 0.01$ ) (figure e-1).

**Cognitive outcome.** The MDRS score was significantly lower after surgery (table 1), with 18% of patients showing a decrease of >6 points ( $n = 31$ ) and/or an MDRS score <130 ( $n = 14$ ) (figure 1). Patients with postoperative cognitive decline showed greater

decreases for all of the cognitive domains explored (except the visuoconstructive test) and higher scores for ADL, motor disability, and akinesia scores (figure 3A, table e-2). Preoperative clinical features and brain MRI white and gray matter densities (voxel-based morphometry) showed no significant differences between the 2 groups (table e-2). Perioperative confusion and psychosis were more frequent in patients who went on to develop a cognitive decline (24% vs 6%,  $p < 0.001$ ) (table e-3).

The stimulating contacts were located differently in the 2 groups, with a more frequent position within

**Figure 3** Postoperative parkinsonian disability and stimulating contact location in PD patients with vs without cognitive decline 1 year after surgery



(A) Differences in parkinsonian activities of daily living (UPDRS part II), motor disability (UPDRS part III), and axial motor sign scores with bilateral subthalamic stimulation alone (OFF, solid red bars) or with drug (ON, empty red bars) in patients with PD who had postoperative cognitive decline (left panel) vs cognitive stability (right panel). The first bars (gray) represent the results obtained for the same scores in the off-drug condition before surgery in each group. (B) Location of the stimulating contacts of the patients with PD who had postoperative cognitive decline (left panel, red cylinders) vs cognitive stability (right panel, blue cylinders). The graph represents the relative proportion of stimulating contacts located within the different subthalamic subregions (sensorimotor, associative, and limbic) and surrounding areas (zona incerta/Forel field, internal capsule, substantia nigra pars reticulata). (C) Changes in the mean MDRS score in PD patients with (filled red squares) vs without (black squares) cognitive decline, 1 and 2 years after surgery. Note that in both groups cognitive status did not significantly change between the first and the second year postsurgery. (D) Relationship between the anteroposterior (Y) coordinate of the right stimulating contact and the change in the frontal score (left panel) and category verbal fluency (middle panel), and the depth (Z) of the right stimulating contact and the change in the MDRS score (right panel). Asterisks indicate significant differences between the 2 groups. CP = commissure posterior; MDRS = Mattis Dementia Rating Scale; PD = Parkinson disease; STN = subthalamic nucleus; UPDRS = Unified Parkinson's Disease Rating Scale.

the STN in patients with postoperative cognitive decline, and within the ZI in patients with no decline (for the right side, figure 3B). In patients with cognitive decline vs without, the left stimulating contacts were located more posteriorly and deeper. A significant positive relationship was found between both the left and right anterior-Y coordinates of the stimulating contacts and the changes in the “frontal,” verbal fluency (category and phonemic), and free-recall scores (figure 3D). Patients with PD who had a higher right Y coordinate ( $>10.6$  mm) vs lower ( $\leq 10.6$  mm) had significant higher scores for verbal fluency, with a smaller decrease in the frontal and verbal fluency scores ( $p < 0.01$ , not shown). The left anterior-Y coordinate was also positively correlated with the changes in the initiation and memory scores (MDRS,  $p < 0.01$ , not shown) and patients with higher left Y coordinates showed significantly less decrease in the MDRS score after surgery ( $p < 0.01$ , not shown). The right and left depth (Z) coordinates were also significantly related to the change in the memory-MDRS score (figure 3D), with a smaller decrease in patients with a lower right Z coordinate (not shown). No difference in the stimulation measures was found between groups (not shown).

**Psychiatric outcome.** Before surgery, 45 patients showed symptoms of depression. The mean MADRS score did not change significantly after surgery (table 1). Eighty-four patients showed no postoperative mood disorders, 26 of 45 depressive patients improved their mood status, and 27 developed depression (figure 1). No significant difference was found between patients who went on to develop or maintain depressive signs after surgery ( $n = 46$ ) vs patients whose depression improved ( $n = 26$ ), except for lower pre- and postoperative cognitive scores in depressive patients ( $p < 0.006$ ). No significant difference was found for the parameter settings or contact locations between groups.

Nineteen patients presented with transient hypomania in the perioperative period, relieved by modifying stimulation parameter settings and/or medication (figure 1). This group of patients had (1) younger age, (2) shorter disease duration, and (3) similar severity of parkinsonian motor disability (off treatment) with fewer residual motor signs with dopaminergic drug treatment (figure 4) and MADRS score (not shown). After surgery, these patients also showed lower ADL (on treatment) and MADRS scores. No significant difference was found for the parameter settings between groups, but the mean coordinates of contacts inducing hypomania were found to be significantly deeper with a more frequent position in the intermediate-AS-STN (53% vs 31%) and the SN (8% vs 1.5%), and less frequently in the ZI (3% vs

13%) ( $p = 0.009$ , figure 4). See e-Results for further details of the results.

**DISCUSSION** In this large single-center cohort of highly selected patients with PD who underwent bilateral STN-DBS, we showed that (1) the most effective target for the alleviation of PD motor symptoms and levodopa-induced motor complications is the STN and not the surrounding structures, (2) the occurrence of postoperative cognitive decline is mainly related to the surgical procedure, with stimulation sites associated with memory decline being located more ventral, and (3) stimulation-induced hypomania is related to both the form of the disease and deeper contact locations, whereas postoperative depression was only found to be related to preexisting depressive signs and lower cognitive performance.

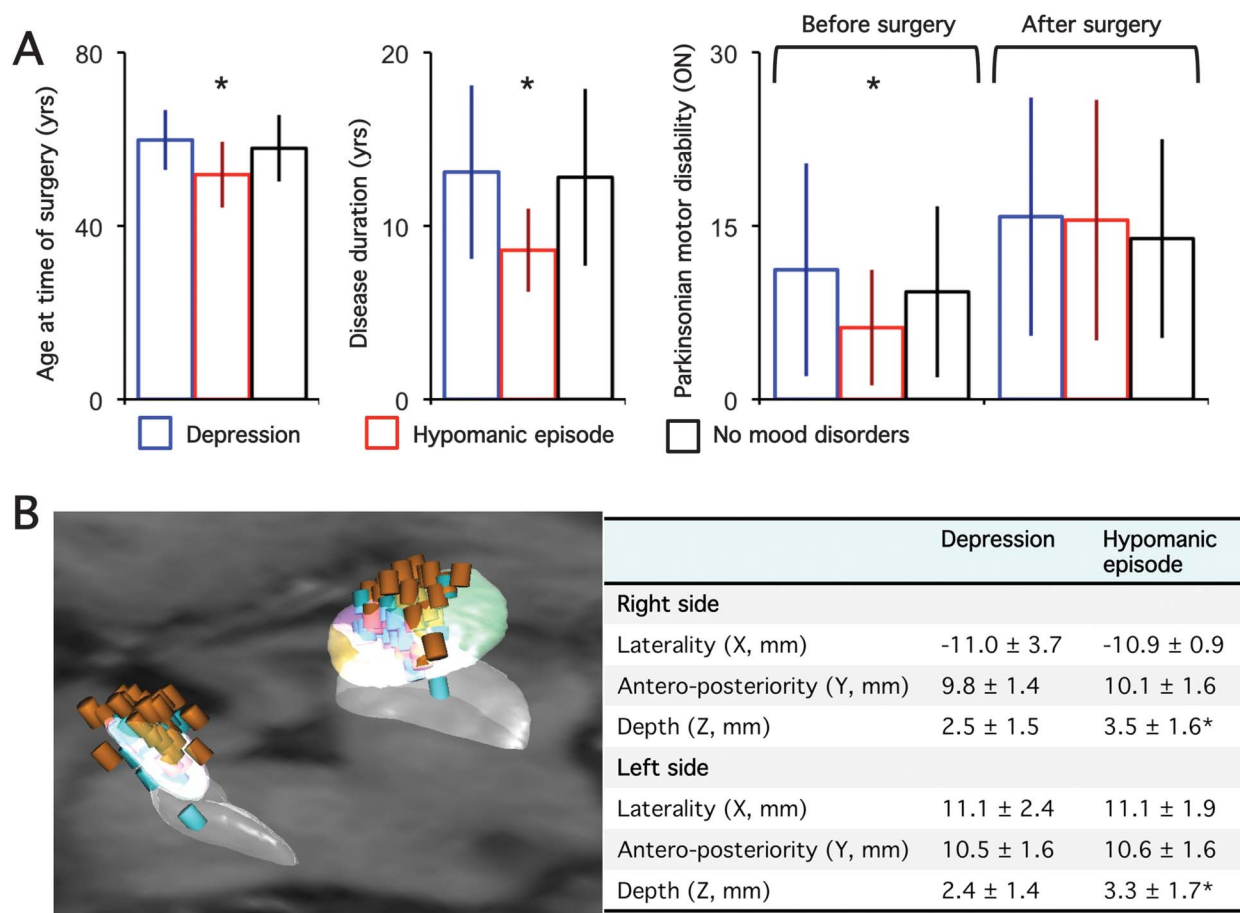
To determine whether or not bilateral STN stimulation performed under the “best” conditions with the best patient candidates might be deleterious, this study was conducted in a large homogeneous population of patients with PD selected using strict inclusion criteria. In consequence, the influence of preoperative features (age, levodopa responsiveness, and preoperative cognitive status) on the postoperative outcome could have been masked, at least in part. The role of the contact locations was examined by using a deformable atlas that provides a 1-mm precision,<sup>25</sup> and functional STN subdivisions were obtained from track tracings in monkeys.<sup>26</sup> Recent neurophysiologic and imaging studies performed in healthy subjects and patients with PD suggest that a similar STN functional organization exists (posterior-SM, intermediate-AS, and anterior-limbic).<sup>11,27,28</sup> This objective evaluation of stimulation sites has also been applied by other teams<sup>29</sup> and in multicenter clinical research protocols.<sup>30</sup> The electrode locations in our patients were most frequently located within the intermediate-AS part of the STN, with only a small number of bilateral locations in the posterior-SM-STN. This probably results from our presurgical planning that targeted the center of the STN<sup>19</sup> with a trajectory that provides better intraoperative electrophysiologic recordings and acute clinical effects, especially alleviation of akinesia.<sup>31</sup>

For such a large population of patients, the effect of DBS-STN on parkinsonian motor symptoms can be considered dramatic as judged by the 64% improvement in motor disability with stimulation alone. Such improvement is greater than that reported by others using less restrictive inclusion criteria.<sup>6,7</sup> This argues for the strict selection of patients to obtain the best motor outcome with minimum side effects.

The effects on parkinsonian disability showed no difference whether stimulation was performed within



**Figure 4** Psychiatric effects of deep brain stimulation in patients with PD as a function of age, disease characteristics, postoperative motor disability improvement, and stimulating electrode locations



(A) Differences in age at time of surgery, disease duration, parkinsonian motor disability “on” drug before surgery and “on” stimulation alone after surgery in patients with Parkinson disease (PD) who had postoperative depression (blue bars), hypomanic episodes (red bars), or no mood disorders (black bars). (B) Left panel: stimulating contacts used in patients with PD who had postoperative depression (blue cylinders) or hypomania (brown cylinders); right panel: mean (SD) X, Y, and Z coordinates in the anterior commissure–posterior commissure space of the stimulating contacts in patients with PD who had postoperative depression or hypomania. Asterisks indicate significant differences between groups.

the posterior-SM or intermediate-AS parts of the STN, with the best motor improvements found with the most anterior electrode locations (closer to the associative part of the STN; figure 2, C and D). This suggests that stimulation of the intermediate-AS part of the STN represents a preferential location to improve parkinsonian motor akinesia.<sup>18</sup> However, other authors have proposed a more dorsoposterior target within the STN,<sup>32</sup> where the most powerful  $\beta$  oscillatory activity is recorded and correlated with best motor improvement.<sup>33</sup> This apparent discrepancy may be explained by the following facts: (1) the entire volume of the STN receives afferent projections from the motor cortex via the “hyperdirect pathway,” whose activity is modulated by STN stimulation and related to the motor effects of this treatment<sup>34</sup>; (2) motor and associative territories of the STN are separated by functional gradients,<sup>26</sup> suggesting that neurons in the central part of the STN may have connections with both SM and AS inputs; and (3)

the somatotopic organization of the STN neurons in patients with PD is partly lost.<sup>35</sup> However, akinesia comprises motor but also cognitive and motivational components, understandably improved by targeting the associative neuronal network. Stimulation of the ZI area gave poorer motor improvement (49%) with no evidence of a specific effect on tremor severity, as previously reported.<sup>8</sup>

Chronic stimulation of the associative STN induced no decline in executive functions, in contrast to acute stimulation<sup>27</sup> or in patients with low cognitive capacity,<sup>4</sup> and more anterior stimulation (close to the associative STN) was related to an increase in executive function 1 year after surgery. This is in line with anterior positioning of electrodes reported in patients with PD showing no decline in mental status scores after surgery.<sup>14</sup> Ventral electrode location was found to be associated with memory decline, as previously observed.<sup>36</sup> However, the fact that patients with PD who had a 1-year postoperative decline

showed no further cognitive decline 2 years after surgery (figure 2C) suggests that the main factor related to its occurrence is the surgical procedure creating a microlesion.<sup>15</sup> Facts supporting this hypothesis are that (1) an acute decline in some executive functions is observed in the days after surgery<sup>37</sup> or in the absence of STN stimulation,<sup>38</sup> and (2) similar cognitive side effects exist, whatever the surgical target, i.e., the STN or the globus pallidus interna.<sup>6,7,38</sup>

Stimulation of the more ventral (limbic) part of the STN induced hypomania, as previously reported in both patients with PD<sup>11,12</sup> and patients with psychiatric disorders.<sup>30</sup> Hypomania was mainly observed in younger patients, those with shorter disease duration, and those with a higher levodopa responsiveness, i.e., a disease profile similar to that observed in patients with dopaminergic dysregulation.<sup>39</sup> Conversely, postoperative depression was not found to be related to electrode location, but to the presence of a preoperative depression, parkinsonian mental deficit, and axial motor signs, as previously reported.<sup>40</sup>

The STN, therefore, and not the surrounding areas, appears to be the ideal target to improve motor disability in patients with PD. This technique may provoke memory or emotional disturbances, especially if the electrodes are too ventral in the STN. This confirms the role of the STN in motor and nonmotor processing and its possible importance in nonmotor diseases.

#### AUTHOR CONTRIBUTIONS

M.-L.W., M.S., V.C., E.B., J.Y., and Y.A. were responsible for the concept and design of the study. J.Y., M.-L.W., L.M., J.Y., and Y.A. obtained funding for the study. C.K., S.N., P.C., and H.B. performed the surgical procedure and B.P. and M.-L.W. performed the intraoperative electrophysiologic targeting. M.-L.W., A.W., V.C., V.M., F.P., L.M., Y.W., P.Z., A.H., M.V., Y.W., A.-M.B., D. Grabli, and J.-L.H. performed clinical investigations and acquired clinical and neuropsychological data. D.D. and D. Galanaud acquired the radiologic data. M.S., E.B., and J.Y. performed electrode location analysis. H.B. and S.F.-V. performed voxel-based morphometric analysis. The data were analyzed by M.-L.W. and J.-L.G. M.-L.W. drafted the report and M.S., V.C., C.K., L.M., B.P., V.M., J.Y., and Y.A. provided substantial input to the first draft. M.-L.W. and E.B. created the figures. All authors reviewed the manuscript. The study was supervised by M.-L.W. and Y.A.

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## Optimal target localization for subthalamic stimulation in patients with Parkinson disease

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